

# Kruskal's/Disjoint Sets Worksheet

## Kruskal's Algorithm

An algorithm that finds the **minimum spanning tree** of a graph. A spanning tree is a tree that connects all vertices of the graph. We define the weight of a spanning tree as the sum of the weights of all edges in the tree. A MST is a spanning tree of a graph that has the least weight.

The main idea of Kruskal's Algorithm is to select edges with the smallest weight to be in our tree, until a MST is formed.

Given a graph  $G$ , the algorithm works as follows:

1. Let  $T$  be an empty list of edges. This is where you will store the edges for your final MST.
2. Make a sorted list `SortedEdges` of all the edges in  $G$ , from smallest to greatest.
3. For each edge ( $u \rightarrow w$ ) in `SortedEdges`
  - (a) If  $u$  and  $w$  are not already connected by the edges in  $T$ , then add the edge ( $u \rightarrow w$ ) to  $T$ .
  - (b) If  $u$  and  $v$  are already connected by the edges in  $T$ , then continue.

We can see that the runtime of Kruskal's Algorithm is dependent on the sorting step in (2), and the check in (3a/b) to see if two vertices are already connected by  $T$ .

For a graph with  $E$  edges, Kruskal's actually runs in  $\Theta(E \log E)$ , the time it takes to sort the edges. In other words, we have a pretty fast scheme to do steps (3a/b). Let us see what data structure we use to do this:

## Disjoint Sets

Disjoint sets gives us a fast way to define 'groups' of objects. If  $A, B, C$  are in the same set, we can think of them as being in the same group. To give this set a name, let's choose one of these elements as the *representative* of the group. If  $A$  is the representative of the set, then we can call the set of  $A, B, C$  as set  $A$ .

This is the intuition behind disjoint sets. We will represent sets as a tree-like structure. Each element is itself a node, that may point to another node. The root of any tree-set is the representative of the set. There are three operations we want our disjoint set to support: **MakeSet**, **Find**, and **Union**. We will now examine a particular implementation of disjoint sets: **Weighted Quick-Union Trees**

## Weighted Quick Union Tree Operations

- **MakeSet( $n$ )**

1. Make  $n$  disjoint sets, numbered 0 to  $n - 1$

- **Find( $n$ )**

1. Find and return the root node of  $n$
2. **Path Compression** optimization:

As you follow the parent pointers from  $n$  to the root, reassign the parent pointers of  $n$  and all other nodes you see along the way to be the root.

**Purpose:** Prevent our tree from getting too tall.

- **Union( $a$ ,  $b$ )**

Goal: merge the set of  $a$  with the set of  $b$

1. Let  $\text{root1} = \text{find}(a)$
2. Let  $\text{root2} = \text{find}(b)$
3. **Union by size** optimization:

If  $\text{root2}$  has a smaller set size than  $\text{root1}$ , then set the parent pointer of  $\text{root2}$  to point to  $\text{root1}$ .

Else, set the parent pointer of  $\text{root1}$  to point to  $\text{root2}$ .

Notice that both of the optimizations introduced have the goal of preventing the tree from getting too tall.

Runtime (including both optimizations):

- One call to **find**:

Worst case  $\Theta(\log(n))$

Best case  $\Theta(1)$

- One call to **Union**:

Worst case  $\Theta(\log(n))$

Best case  $\Theta(1)$

- For  $f$  calls to **find** and  $u$  calls to **union**:

Essentially  $\Theta(u + f * \alpha(f + u, u))$

Where  $\alpha$  is the inverse Ackerman function, a function that grows veeeeeeeeeeeeerrrrrrrrrryyyyyyyyyyyy slow.

**With respect to Kruskal's Algorithm:** Assume that when we first start the algorithm, all vertices are their own disjoint set. Suppose that whenever we add some edge ( $u \rightarrow w$ ) to  $T$ , we also **union**( $u, w$ ). Thus, realize that in order to see if some  $u$  and  $w$  are already connected by the edges in  $T$ , we need only check to see if they are in the same set!

# Practice Problems

## 1. MST and Shortest Path questions

Answer the following questions regarding MSTs and shortest path algorithms for a **weighted, undirected graph**. If the question is T/F and the statement is true, provide an explanation. If the statement is false, provide a counterexample.

- (a) (T/F) If all edge weights are equal and positive, breadth-first search starting from node A will return the shortest path from a node A to a target node B.
  
- (b) (T/F) If all edges have distinct weights, the shortest path between any two vertices is unique.
  
- (c) (T/F) Adding a constant positive integer  $k$  to all edge weights will not affect any shortest path between vertices.
  
- (d) Draw a weighted graph (could be directed or undirected) where Dijkstra's would incorrectly give the shortest paths from some vertex.
  
- (e) (T/F) Adding a constant positive integer  $k$  to all edge weights will not affect any MST of the graph.
  
- (f) (T/F) Kruskal's algorithm works even when there are negative edge weights in the graph.
  
- (g) Extra for experts: Design an efficient algorithm for the following problem: Given a weighted, undirected, and connected graph  $G$  where the weights of every edge in  $G$  are all integers between 1 and 10, and a starting vertex  $s$  in  $G$ , find the shortest path from  $s$  to every other vertex in the graph.

Your algorithm must run asymptotically faster than Dijkstra's

## 2. Disjoint Sets Questions

- (a) Draw the Weighted Quick Union object that results after the following four method calls:
- `connect(1, 3)`
  - `connect(0, 4)`
  - `connect(0, 1)`
  - `connect(0, 2)`
- (b) In terms of runtime, what is the worst way to place the integers 1, 2, 3, 4, and 5 into the same set? Your answer should be in the form of a series of calls to the `connect` method.
- (c) Let us define the height of a quick union tree with a single node to be 0. What is the shortest and tallest height possible for a Quick Union object with 10 elements.
- (d) In general, what are the shortest and tallest heights possible for a Quick Union with  $k$  elements? What does this mean for the best and worst case runtimes for `isConnected` and `connect`?
- (e) What is the shortest and tallest height possible for a Weighted Quick Union with 10 elements? How about a Weighted Quick Union with  $N$  elements? What does this mean for the best and worst-case runtimes for `isConnected` and `connect`?

**Solutions available in #7 and #8 of Guerilla Section 4**